## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

| In Re:          |   | Andrei V. Belikov et al.   | Confirmation No:                     | 7647             |
|-----------------|---|--|--------------------------------------|------------------|
| Serial N        | lo:   | 10/596,535   | Group:                               | Not yet assigned |
| Filed:          |   | 29 September 2005  | Examiner:                            | Not yet assigned |
| For:            |   | Method and apparatus for tooth rejuvenation and hard tissue modification   |                                      |                  |
| Custom          | er No.:   |  |                                      |                  |
| Attorney<br>No. | y Docket  | 0032.0007US  |                                      |                  |
|                 |   | INFORMATION DISCLOSURE STA   | ATEMENT                              |                  |
| Comm<br>P.O. B  | top Amen<br>issioner fo<br>ox 1450<br>ndria, VA |  |                                      |                  |
| Sir:            |   |  |                                      |                  |
| This Inf        | under 37 C                                      | sclosure Statement is submitted:<br>FR 1.129(a), or<br>submission after Final Rejection)   |                                      |                  |
| [X]             | (Within any o                                   | FR 1.97(b), or<br>one of the following time periods: three months of filing na-<br>ational stage in an international application; or before the monal application, including a CPA, or a Request for Continu | ailing date of a first office action |                  |
| []              | under 37 C                                      | FR 1.97(c) together with either:   |                                      |                  |
|                 | [ ] a   | Statement under 37 CFR 1.97(e), as checked be  | low, or                              |                  |
|                 |   | \$180.00 fee under 37 CFR 1.17(p), or CFR 1.97(b) time period, but before final action or notice o   | f allowance, whichever occurs        | first)           |
| []              | under 37 C                                      | FR 1.97(d) together with:  |                                      |                  |
|                 | [ ] a   | Statement under 37 CFR 1.97(e), as checked be  | low, and                             |                  |
|                 |   | \$180.00 fee under 37 CFR 1.17(p), or nal action or notice of allowance, whichever occurs first, but   | t on or before payment of the i      | ssue fee)        |
| []              | Applicant                                       | FR 1.97(i): requests that the IDS and cited reference(s) be payment of issue fee)  | placed in the application            | filewrapper.     |

Statement Under 37 CFR 1.97(e)

Attorney Docket No: 0032.0007US

| []                            | commu           | em of information contained in this Information Disclosure Statement was first cited in any nication from a foreign patent office in a counterpart foreign application not more than conths prior to the filing of this Information Disclosure Statement; or  |
|-------------------------------|-----------------|---|
| []                            | knowle          | n of information contained in this Information Disclosure Statement was cited in a nication from a foreign patent office in a counterpart foreign application, and, to the dge of the undersigned, after making reasonable inquiry, no item of information contained information disclosure statement was known to any individual designated in 37 CFR 1.56(c) can three months prior to the filing of this Information Disclosure Statement. |
| Stateme                       | ent Under       | r 37 CFR 1.704(d) (Patent Term Adjustment) Applies to original applications (other than design) filed on or after May 29, 2000  |
| [ ]                           | commu<br>was no | em of information contained in the Information Disclosure Statement was cited in a mication from a foreign patent office in a counterpart application and this communication treceived by any individual designated in 37 C.F.R. § 1.56(c) more than thirty days prior to ag of the Information Disclosure Statement.   |
| [X]                           | Enclose         | ed herewith is form PTO-1449:   |
|                               | [ ]             | Copies of the cited references are enclosed except US patent references.  |
|                               | []              | References cited were entered in prior application, U.S. Application No. , to which priority under 35 U.S.C. 120 is claimed. [The earlier application contains copies of the cited references.]   |
|                               | [X]             | The listed references were cited in the enclosed International Search Report.   |
|                               | []              | The "concise explanation" requirement (non-English references) for reference(s) [ under 37 CFR 1.98(a)(3) is satisfied by:  |
|                               |                 | [ ] the explanation provided on the attached sheet.   |
|                               |                 | [ ] the explanation provided in the Specification.  |
|                               |                 | [ ] submission of the enclosed International Search Report.   |
|                               |                 | [ ] submission of the enclosed English-language version of a foreign Search Report and/or foreign Office Action.  |
|                               |                 | [ ] the enclosed English language abstract.   |
| [ ]<br>Examiner's<br>Initials | Applica         | ant requests that the following non-published pending applications be considered:   |
|                               |                 | U.S. Patent Application No. [ ], by [inventor(s)], filed [ ], Docket No.: [ ]   |
|                               |                 | U.S. Patent Application No. [ ], by [inventor(s)], filed [ ], Docket No.: [ ]   |
|                               |                 | ILS Patent Application No. [ ] by [inventor(s)] filed [ ] Docket No. [ ]  |

Attorney Docket No: 0032.0007US

|           |                   | Examiner   | Date                               |
|-----------|-------------------|--|------------------------------------|
|           | [ ]               | A copy of each above-cited application, including the  | ne current claims, is enclosed.    |
|           | []                | A copy of each above-cited application, including the those entered in prior application, U.S. Application 35 U.S.C. 120 is claimed. |                                    |
|           |                   | requested to return a copy of the above list of pendin considered with the next office communication.                                | g applications indicating which    |
| It is req | uested th         | at the information disclosed herein be made of record  | in this application.               |
| Method    | l of paym         | ent:   |                                    |
| [ ]       |                   | k for the fee noted above is enclosed, or the fee has be panying Reply. A copy of this Statement is enclosed.                        | een included in the check with the |
| [ ]       | Please of enclose | charge Deposit Account 502 233 in the amount of \$[ d.   | ]. A copy of this Statement is     |
| []        | Please o          | charge any deficiency in fees and credit any overpaym  | nent to Deposit Account 502 233.   |
| [X]       | No fee            | is required.   |                                    |
|           |                   | Respec   | etfully submitted,                 |
|           |                   | Housto   | on Eliseeva LLP                    |
|           |                   |  |                                    |
|           |                   |  |                                    |

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Dated: June 19, 2006

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| Under the Paperwork Reduction Act of 1995, no persons are required to Substitute for form 1449/PTO  INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use as many sheets as necessary) | Complete if Known                                 |                        |   |   |
| · ·   |   | Application Number     | 10/596,535                                  |   |
| INFORM  | ATION DISCLOSURE                                  | Filing Date            | 29 September 2005                           |   |
|   |   | First Named Inventor   | Andrei V. Belikov                           |   |
|   |   | Art Unit               | Not Yet Assigned                            |   |
| (Use as many sheets as necessary)   |   | Examiner Name          | Not Yet Assigned                            |   |
| Chart 1   | 1   | Attorney Docket Number | 0032.0007US1                                | 7 |

| Examiner<br>Initials* | Cite<br>No.1 | Document Number  Number-Kind Code <sup>2 (f known)</sup> | Publication Date MM-DD-YYYY | Name of Patentee or<br>Applicant of Cited Document | Pages, Columns, Lines, Where<br>Relevant Passages or Relevant<br>Figures Appear |
|-----------------------|--------------|--|-----------------------------|--|---|
|                       |              | <sup>US-</sup> 6309625                                   | 10/30/2001                  | Jensen, Steven D. et al.                           |   |
|                       |              | US- 2002/0058231   | 05/16/2002                  | Friedman, Joshua                                   |   |
|                       |              | US- 2002/0072030   | 06/13/2002                  | Davis, Kerry N.                                    |   |
|                       |              | US- 2004/0116511   | 06/17/2004                  | Malik, Sohail                                      |   |
| _                     |              | US-  |                             |  |   |
|                       |              | U\$-   |                             |  |   |
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|                       |              | US-  | +                           |  | <del>-</del>  |

| FOREIGN PATENT DOCUMENTS |              |   |                  |  |   |
|--------------------------|--------------|---|------------------|--|---|
| Examiner<br>Initials*    | Cite<br>No.1 | Foreign Patent Document   | Publication Date | Name of Patentee or<br>Applicant of Cited Document | Pages, Columns, Lines,<br>Where Relevant Passages |
|                          |              | Country Code <sup>3</sup> -Number <sup>4</sup> -Kind Code <sup>5</sup> (if known) | MM-DD-YYYY       |  | Or Relevant Figures Appear                        |
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| Examiner  | Date  |        |
|-----------|-------|--------|
| Signature |       | idered |
|           | 93.13 | 440.04 |

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kinds Codes of USPTO Patent Documents at <a href="https://www.uspto.gov">www.uspto.gov</a> or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup>Applicant is to place a check mark here if English language Translation is attached.

Translation is attached.
This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.

## INTERNATIONAL SEARCH REPORT

International application No.
PCT/US05/34606

| A. CLASSIFICATION OF SUBJECT MATTER  IPC: A61C 5/04( 2006.01),19/00( 2006.01),9/00( 2006.01),1/14( 2006.01),11/00( 2006.01),5/00( 2006.01),5/04( 2006.01) |  |  |   |  |  |  |
|---|--|--|---|--|--|--|
| USPC:<br>According to   | USPC: 433/89,49,52,53,54,215-216,32,214,90 According to International Patent Classification (IPC) or to both national classification and IPC   |  |   |  |  |  |
| B. FIEL   | DS SEARCHED  |  |   |  |  |  |
| Minimum do  | Minimum documentation searched (classification system followed by classification symbols) U.S.: 433/89,49,52,53,54,215-216,32,214,90   |  |   |  |  |  |
|   | on searched other than minimum documentation to the  |  |   |  |  |  |
| C. DOC  | UMENTS CONSIDERED TO BE RELEVANT   |  |   |  |  |  |
| Category *  | Citation of document, with indication, where a   | noronriate, of the relevant nassages   | Relevant to claim No.   |  |  |  |
| X   | US 6,309,625 B1 (JENSEN et al) 30 October 2001 ( 49;column 8, lines 48-51;column 9, lines 40-44&49-  | 30.10.2001), column 2, lines 41-   | 1-4,9-11,16,21-22,26-<br>28,30,32-34,38-39,41-<br>42,49-51,53-55,68-<br>74,86,88,98,100,114-<br>115,117-118 |  |  |  |
| х<br>   | US 2002/0058231 A1 (FRIEDMAN) 16 May 2002 ( US 2002/0072030 A1 (DAVIS) 13 June 2002 (13.06   |  | 43,49-50,52,56-61,104-<br>107,110,113<br>   |  |  |  |
| Further   | documents are listed in the continuation of Box C.   | See patent family annex.   |   |  |  |  |
| "A" documen   | pecial categories of cited documents:  defining the general state of the art which is not considered to be of relevance  | "T" later document published after the inte<br>date and not in conflict with the applic<br>principle or theory underlying the inve                           | ation but cited to understand the   |  |  |  |
| •   | Plication or patent published on or after the international filing date  | "X" document of particular relevance; the considered nove) or cannot be consider when the document is taken along  |   |  |  |  |
| establish<br>specified  | which may throw doubts on priority claim(s) or which is cited to the publication date of mother citation or other special reason (as referring to an oral disclosure, uso, exhibition or other means | "Y" document of particular relevance; the considered to involve an inventive ste with one or more other such document obvious to a person skilled in the art | when the document is combined   |  |  |  |
|   | ·  |  |   |  |  |  |
|   | Date of the actual completion of the international search  Date of mailing of the international search report  MAR 2006 (01.03.2006)   |  |   |  |  |  |
| Name and ma<br>Mai<br>Con<br>P.O.<br>Alex   | iling address of the ISA/US I Stop PCT, Atm: ISA/US unissioner of Patents Box 1450 andria, Virginia 22313-1450   | 1.4b   | heere for   |  |  |  |

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## INTERNATIONAL SEARCH REPORT

| Category * | Citation of document, with indication, where appropriate, of the relevant passages | Relevant to claim No. |
|------------|--|-----------------------|
| A          | US 2004/0116511 A1 (MALIK) 17 June 2004 (17.06.2004), entire document.             | 1-118                 |
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